

March 1, 2018



# State Route 37 Project Initiation Document

**Jean Finney**  
Deputy District Director  
Transportation Planning and Local Assistance  
Caltrans, District 4

---

# What is a PID?

- Engineering or technical document that documents scope, cost and schedule for transportation projects
- PID documents the purpose and need.
  - Need (transportation deficiency)
  - Purpose (objectives that will be met to address the transportation deficiency)
- Identifies scope, including alternatives that will be taken to meet or reduce transportation deficiencies and address the purpose and need.
- Narrows down the number of project alternatives to be studied, which allows PA&ED to be more efficient.
- Ties scope to realistic cost estimates and schedules.

# When is a PID Required?

- Government Code requires
  - PIDs for projects programmed in the STIP.
  - Caltrans review and approve PIDs prepared by local entities.
  - Caltrans to ensure that all projects on the SHS comply with applicable state and federal standards.
- Streets and Highways Code requires
  - Caltrans to determine the kind, quality, and extent of all highway work done under its control.

# Use of Streamlined PID for SR 37

- Project Study Report-Project Development Support (PSR-PDS)
  - Streamlines the PID phase.
  - Used to only program PA&ED phase and requires only enough detail to fund PA&ED.
  - Order-of-magnitude estimate for PS&E, R/W, and Const.
- The PSR/PDS represents
  - Scope approval—general agreement on purpose and need statement and range of alternatives and their associated risks to be studied during PA&ED,

# Next Steps:

- STA to enter into cooperative agreement with Caltrans to reimburse Caltrans for oversight of PSR/PDS.
- Consultant to prepare PSR/PDS working off studies already underway/completed.
- Caltrans to review PSR/PDS to identify any known fatal flaws with the project alternatives.
- PSR/PDS to be approved by Caltrans District Director.

# Questions

