

Staff Report

To: SCTA Technical Advisory Committee **Meeting Date:** 7/22/21
From: James R. Cameron, Chief Deputy Executive **Item Number:** 9.0
Director and Seana L. S. Gause, Sr. -
Programming and Projects
Subject: Transportation Funding Opportunities Timeline

Consent Item: **Regular Item:** **Action Item:** **Report:**

Issue

How will SCTA Administer Call(s) for projects for the various funding sources anticipated?

Recommendation

Staff will issue the 2021 SCTA Funding Program Call for Projects (FY23-FY27) in early August.

Advisory Committee Recommendation

None, Staff is seeking TAC input.

Alternatives Considered

Not applicable.

Executive Summary

The SCTA intends to develop a coordinated five-year funding strategy of an estimated \$70 million in funding to member agencies over the course of the next year. The funding strategy will help transition our transportation network to zero emission by 2050, while using our guiding principles to improving safety, equity, and quality of life. Transportation funding opportunities were presented to the Board, TAC, and CAC in June. SCTA staff recommends a simplified call for projects to work toward developing our five year funding strategy. Staff is seeking TAC input on application details and call for projects schedule to achieve a transparent, accountable, and equitable distribution of limited funds to the highest priorities while also leveraging other funding sources by being competitive at a regional, state, and national level.

Policy Impacts / Nexus to Agency Goals

Provide funding to achieve Goals for the 2050 Comprehensive Transportation Plan:

1. Connected and Reliable
2. Safe and Well-Maintained
3. Community Oriented and Place-Based
4. Zero-Emissions

Financial Implications

Is there a fiscal impact? Yes No

Is there funding in the current budget? Yes No

The funding source(s) to be used are: Federal, State, Regional and Local Transportation Funding

Background

In fiscal year 2021-22 (FY22), the SCTA will manage programming from several different fund sources that will be aligned to deliver multiple types of priority projects across the County. The SCTA will issue call(s) for projects, score projects based on criteria and fund requirements, review programming with advisory committees, and propose projects to be funded to the Board. The fund sources to be acted on this fiscal year are for programming in FY23 through FY27. In the last month, more details have been released in relation to the STIP and OBAG 3 funding programs. The timeline to develop a coordinated five-year funding strategy is being advanced due to the 2022 STIP schedule for programming. OBAG 3 is the largest funding source with a complex call for projects that would have be the most detailed application to develop the strategy, but STIP projects are due prior to OBAG 3 framework being approved. SCTA staff recommends a simplified call for projects in August to work toward developing our five year funding strategy.

SCTA staff is reaching out to the TAC for input on the attached draft 2021 SCTA Funding Program Call for Projects application details and call for projects schedule.

2021 SCTA Funding Program Call for Projects								
FY23 to FY27								
Funding Source	Funding type	Est. Amount (\$M)	CTC Policies and Procedures	MTC Policies and Procedures	SCTA Action	MTC Program Adoption	CTC Program Adoption	Available
OBAG3	Federal	\$25.0	N/A	Dec-21	May-22	Sept-22?	N/A	FY23-27
STIP	State/Fed	\$6.5	Aug-21	Sep-21	Oct-21	Dec-21	Mar-22	FY26-27
STIP (CRRSAA)	Federal	\$2.3	Aug-21	Sep-21	Oct-21	Dec-21	Mar-22	FY22
LPP (Incentive)	State	\$5.0	Apr-20	N/A	TBD	N/A	TBD	FY22
LPP(f)	State	\$2.0	Apr-20	N/A	TBD	N/A	TBD	FY22-24
TDA3	State	\$3.7	N/A	N/A	May-22	N/A	N/A	FY23-27
TFCA	State	\$2.6	N/A	N/A	Oct-22	N/A	N/A	FY23-27
Go Sonoma	Local	\$20.0	N/A	N/A	TBD	N/A	N/A	FY26 -27
Reg. Mitigation Fee	Local	\$4.8	N/A	N/A	TBD	N/A	N/A	TBD
	Total	\$71.9						

Updated information on OBAG and STIP below:

One Bay Area Grant (OBAG) program

SCTA administers OBAG in Sonoma County. The upcoming OBAG Cycle 3 (FY23-FY27) policies, procedures, and targets will be determined by MTC in December of 2021, then fully approve the program and projects in Fall of 2022. The last OBAG cycle (Cycle 2) yielded approximately \$28 million across Sonoma County. Previous cycles have funded bicycle/pedestrian projects, intersection, and operational improvements on local roads, as well as road rehabilitation on streets in dire need of pothole repair.

Distribution Criteria

Historically SCTA has been given a share of these federal funds based on an MTC formula that included transportation and housing factors. The direct formula based approach was since questioned by the Federal Highway Administration and will be discontinued. The distribution criteria for OBAG3 has not yet been established, however instead of SCTA being given a specific amount of funds, MTC will likely provide a target to each county. The SCTA target is estimated to be between \$20-30 million based on past years' funding.

Project Types

A portion of these funds can be used for almost all types of transportation capital improvement projects, while a portion are limited to new or expanded transportation projects that support efforts to meet requirements under the Clean Air Act in non-attainment or maintenance areas. Examples of Clean Air eligible projects include non-recreational bicycle and pedestrian facilities, transit projects, rideshare and telecommuting activities, and signal coordination. It is expected that at least half of the funds will need to be programmed in or in service of a Priority Development Area (PDA).

State Transportation Improvement Program (STIP)

The STIP is a multi-year capital improvement program of transportation projects on and off the State Highway System derived from State and federal gas tax revenues. The amount of funding to each county is determined by formula although SCTA was able to borrow ahead to help fund ready portions of the US 101. Projects must be approved by MTC and the CTC.

Project Types

Transportation projects for State highway improvements, intercity rail, and regional highway and transit improvements. Any capital project — from a new roadway or new bike path to a highway expansion or rail line extension — may be included in the STIP. Transit operations and rolling stock are often not eligible expenditures since the Public Transit Account has not been backfilled in recent memory. Rolling Stock is eligible for the STIP CRRSAA funds. The Highway 101 project was funded with STIP and Measure M funding over the last 20 years. Early developmental phases of capital projects are eligible, as long as the entire phase is fully funded.

Next Funding Cycle

An estimated \$2.3 million will be available to program to projects in FY23 and FY24 and \$6.5 million in FY26 and FY27. Program of Projects will need to be approved by SCTA by October 11, 2021 to be

forwarded to MTC for December 2021 program approval and ultimately March 23, 2022 CTC program approval. Based on MTC Resolution 4328 Funding Exchange with MTC the STIP program may be reduced by \$3.4 M.

Useful Links:

Go to Item [4.2 Funding Opportunities staff report to SCTA Board, June 14, 2021](#)

Funding Opportunities Presentation: <https://scta.ca.gov/wp-content/uploads/2021/06/4.2-FundingOpportunities-Final.pdf>

One Bay Area Grants (OBAG)

<https://mtc.ca.gov/our-work/fund-invest/investment-strategies-commitments/focused-growth/one-bay-area-grants>

California Transportation Commission Programs

<https://catc.ca.gov/programs>

State and Federal Programs:

<https://dot.ca.gov/programs/local-assistance/fed-and-state-programs>

Regional Measure 3

<https://mtc.ca.gov/our-work/fund-invest/toll-funded-investments/regional-measure-3>

Measure M 2019 Strategic Plan

<https://scta.ca.gov/wp-content/uploads/2018/11/2019-StrategicPlan-final-ADA.pdf>

Go Sonoma

<https://scta.ca.gov/gosonoma/>

State Highway Operations and Protection Program (SHOPP)

<https://dot.ca.gov/programs/financial-programming/state-highway-operation-protection-program-shopp-minor-program-shopp>

Infrastructure for Rebuilding America (INFRA)

<https://www.transportation.gov/buildamerica/financing/infra-grants/infrastructure-rebuilding-america>

Rebuilding American Infrastructure with Sustainability and Equity (RAISE)

<https://www.transportation.gov/RAISEgrants>

Affordable Housing and Sustainable Communities

<https://sgc.ca.gov/programs/ahsc/>

Transit and Intercity Rail Capital Program

<https://calsta.ca.gov/subject-areas/transit-intercity-rail-capital-prog>

To be eligible for most fund sources the project must be in the TIP

https://mtc.ca.gov/sites/default/files/TIP_Guide.pdf

2020 California Transportation Funding Booklet

<https://dot.ca.gov/-/media/dot-media/programs/transportation-planning/documents/transportation-economics/transportation-funding-booklet/2020-final-transportation-funding-a11y.pdf>

TABLE 24. SENATE BILL 1 PROGRAMS

LOCAL PROGRAMS	ANNUAL FUNDING	FUNDING AMOUNT (OVER 10 YEARS)
LOCAL STREET AND ROAD MAINTENANCE AND REHABILITATION	\$1.5 billion	\$15 billion
TRANSIT OPERATIONS AND CAPITAL	\$750 million	\$7.5 billion
LOCAL PARTNERSHIP PROGRAM	\$200 million	\$2 billion
ACTIVE TRANSPORTATION PROGRAM	\$100 million	\$ 1 billion
STATE TRANSPORTATION IMPROVEMENT PROGRAM - REGIONAL SHARE	\$82.5 million	\$825 million
LOCAL PLANNING GRANTS	\$25 million	\$250 million
STATE PROGRAMS	ANNUAL FUNDING	FUNDING AMOUNT (OVER 10 YEARS)
STATE HIGHWAY MAINTENANCE AND REHABILITATION	\$1.9 billion	\$19 billion
TRADE CORRIDOR ENHANCEMENT PROGRAM	\$300 million	\$3 billion
SOLUTIONS FOR CONGESTED CORRIDORS PROGRAM	\$250 million	\$2.5 billion
PARKS, OFF-HIGHWAY VEHICLE, BOATING AND AGRICULTURAL PROGRAMS	\$80 million	\$800 million
STATE TRANSPORTATION IMPROVEMENT PROGRAM - INTERREGIONAL SHARE	\$27.5 million	\$275 million
FREEWAY SERVICE PATROL PROGRAMS	\$25 million	\$250 million
CALIFORNIA UNIVERSITY TRANSPORTATION RESEARCH PROGRAMS	\$7 million	\$70 million

Source: CSAC <https://www.counties.org/post/sb-1-road-repair-and-accountability-oct-2017#:~:text=SB%201%20prioritizes%20funding%20towards,transit%2C%20and%20active%20transportation%20facilities>

2021 Sonoma County Transportation Authority Funding Program Call for Projects (FY 23-FY 27)

Project Sponsor:

Single Point of Contact:

Email/Phone:

Project Manager:

Email/Phone:

Project Title:

Project Location/Description:

Eligible Project Type:

SCTA is seeking every jurisdiction's highest Transportation priorities to develop the 5-year funding program.

Moving Forward 2050 Goals: *Following our guiding principles to improve **safety, equity, and quality of life**, please describe the relationship of the project to achieving the vision that connects people and places as we transition our transportation network to zero-emissions by 2050.. What goals will the project work toward?*

Link: [Draft Comprehensive Transportation Plan](#)

Check which goals apply:

- Connected and Reliable
- Safe and Well-Maintained

- Community oriented and Place Based
- Zero-Emissions

2021 Sonoma County Transportation Authority Funding Program Call for Projects (FY 23-FY 27)

Please answer the following questions regarding the proposed project:

1. Is there a Project Map attached to the current application? Y N
2. Is the proposed project inside the boundaries of an approved Priority Development Area (PDA), Rural Investment Area (RIA) or Employment Center? Y N
3. Does the Project serve a PDA? Y N
 - a. If the project serves a PDA, please explain how:
4. Did sponsor do public engagement to develop this project specifically? Y N

5. Complete Streets Components: Please indicate all the complete streets elements proposed as part of this project:

9a. Choose an item.	9b. Choose an item.
9c. Choose an item.	9d. Choose an item.
9e. Choose an item.	9f. Choose an item.
9g. Choose an item.	9h. Choose an item.
9i. Choose an item.	9j.

6. Schedule: Include assumptions and risks concerning the project schedule, for example environmental requirements for biological studies, etc. Specifically address deliverability and project readiness:

Phase	Begin MO/YR	End MO/YR
Scoping		
ENV		
PSE		
R/W		
CON		

2021 Sonoma County Transportation Authority Funding Program Call for Projects (FY 23-FY 27)

- 7. Project Cost & Funding:** Complete a Funding Sheet (PPR – Project Programming Request, SEPARATE Microsoft Excel Spreadsheet provided by SCTA) for each phase of your project. Provide ALL known sources of funding, insert your proposed request for funds. Please confirm that the computed Total Project Cost at the top of the spreadsheet reflects the escalated cost, based on the year of programming. Please provide any additional information, such as time limitations/restrictions associated with funding sources, which may affect your application:

Project Cost & Status:

Phase	Total Cost	Fund Source by Phase			Project Status by Phase
		Grant Request	Other Funds		% Complete
Planning/ Conceptual	\$	\$	\$	Fund source; notes	% complete
Environmental Studies (PA&ED)	\$	\$	\$	Fund source; notes	% complete
Design Engineering (PS&E)	\$	\$	\$	Fund source; notes	% complete
Right-of-way	\$	\$	\$	Fund source; notes	% complete
Construction	\$	\$	\$	Fund source; notes	% complete
Total	\$	\$	\$		

Project Investment by Mode:

Mode	Share of project investment
Auto	%
Transit	%
Bicycle/Pedestrian	%
Other	%
Total	100%



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2021 SCTA Funding Program Call for Projects (FY 23-FY 27)
Project Nomination Sheet
(dollars in thousands)

Date: 20-Jul-21

Project Title with phase:	
Jurisdiction(s):	
Implementing Agency:	

Shaded fields are calculated fields.

Proposed Total Project Cost							Project Total	Comments:
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)	0	0	0	0	0	0	0	
PS&E	0	0	0	0	0	0	0	
R/W SUP (CT) *	0	0	0	0	0	0	0	
CON SUP (CT) *	0	0	0	0	0	0	0	
R/W	0	0	0	0	0	0	0	
CON	0	0	0	0	0	0	0	
TOTAL	0	0	0	0	0	0	0	

Existing Fund Source #1							Total	Source:
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Proposed Fund Source #1							Total	Source:
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Existing Fund Source #2							Total	Source
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Proposed Fund Source #2							Total	Source
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Existing Fund Source #3							Total	Source
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Proposed Fund Source #3							Total	Source
Component	Prior	22/23	23/24	24/25	25/26	26/27		
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

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Project Title with phase:		0
Jurisdiction(s):		0
Implementing Agency:		0

Existing Fund Source #4								Source
Component	Prior	22/23	23/24	24/25	25/26	26/27	Total	
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Proposed Fund Source #4								Source
Component	Prior	22/23	23/24	24/25	25/26	26/27	Total	
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Existing Fund Source #5								Source
Component	Prior	22/23	23/24	24/25	25/26	26/27	Total	
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Proposed Fund Source #5								Source
Component	Prior	22/23	23/24	24/25	25/26	26/27	Total	
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Existing Fund Source #6								Source
Component	Prior	22/23	23/24	24/25	25/26	26/27	Total	
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Proposed Fund Source #6								Source
Component	Prior	22/23	23/24	24/25	25/26	26/27	Total	
E&P (PA&ED)							0	
PS&E							0	
R/W SUP (CT) *							0	
CON SUP (CT) *							0	
R/W							0	
CON							0	
TOTAL	0	0	0	0	0	0	0	

Additional Funding Needs (Out years or Source TBD)									Project Total
Component	Prior	22/23	23/24	24/25	25/26	26/27	27/28	28/29 and beyond	
E&P (PA&ED)									0
PS&E									0
R/W SUP (CT) *									0
CON SUP (CT) *									0
R/W									0
CON									0
TOTAL	0	0	0	0	0	0	0	0	0